Prudential ISA

Switching Instruction Form

About this form

Please complete all relevant sections in blue or black ink, write in CAPITAL LETTERS or tick as appropriate and sign this form on the reverse.

Before you sign this form: you should read, understand or have satisfactorily explained the latest versions of the Key Investor Information Document (KIID) or Key Features Document and Key Information Document and Prudential ISA costs and charges disclosure, as applicable, for your chosen share/unit class in each fund or PruFund Fund in which you want to invest, and the ISA Terms and Conditions which includes the Link Financial Investments Limited Customer Agreement.

If you have any questions or would like a free up-to-date copy of the KIID, ISA Terms and Conditions, the Key Features Document, Prospectus or most recent additional application forms, please call us on 0344 335 8936 between 8:30am and 5:30pm Monday to Friday. All literature will be provided in English. These documents are also available at www.linkfundsolutions.co.uk.

For investment in LF Prudential Investment Funds (1) only: commission may be payable, depending on the Share class chosen and whether advice has been given.

Please return the completed form to Link Financial Investments Limited, PO Box 384, Darlington DL1 9RZ.

We may have to return any instruction that is incorrectly completed.

Part 1 – Adviser details (only to be completed by a financial adviser)

For commission eligibility and FCA product sales data purposes: if you did not provide advice on this switch please tick ☐

(Where the switch represents a New or Initial Investment) I confirm that I have provided advice, and, in respect of any New Investment, that the client's "demands and needs" have been considered as part of that process, and that the proposed investment is consistent with those "demands and needs".

Company name

Agent code

Adviser name

FRN

IRN

If advice has been provided on the investment(s) detailed in Part 3, please ensure you select the "R" Share class and PruFunds, otherwise the application may be rejected.

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Part 2 – Investor details

Title | Surname
Full forename(s)
Date of birth D D M M Y Y Y Y
(you must be 18 or over)
Daytime telephone number (including area code)
Permanent residential address. Please note we cannot accept a “care of” or business address, or PO Box numbers.
Postcode
Link customer number

Part 3 – Switching details

- Full details of the fund range and investment options available to you can be found in the ISA Terms and Conditions, relevant KIID(s), Key Features Document and Key Information Document, Prudential ISA costs and charges disclosure, Prospectus and other PruFund Funds’ Literature.
- You can switch all or part of your investment between funds. If you are only switching part of your investment, you must leave at least £500 in the fund you are switching from.
- Your first switch must be at least £500 in each fund. Switches after this must be at least £250.
- There is no charge made for switching between funds.
- Please indicate the choice of fund(s) you wish to sell and the fund(s) you wish to purchase with the sale proceeds.
- Please specify the share class you wish to invest in, failure to do so may result in your application being rejected.
- Please note that switching out of PruFunds is subject to a 28 day delay.
- We are not able to cancel a switch within the initial 28 day delay period.
- Within the PruFunds, you can only instruct a maximum of one switch transaction per quarter date.
- Within the PruFunds, your investment can only be switched from the fund into the account.

Income/Accumulation Option – only applicable to investment in LF Prudential Investment Funds (1)

If you have selected to switch from an Accumulation Option to an Income Option so that income can be paid direct to your bank or building society account, please delete ‘Accumulation’ in the ‘Income/Accumulation Option’ column in Table 2 overleaf for the appropriate fund(s) that you are switching into and complete Part 4.

For funds offering both Accumulation and Income Options, if none/both options are ticked then the Accumulation Option will be allocated for that fund.

Please note that the Income Option is not available to regular savers.

Direct Debit options

If you would like to continue making your direct debit payments to the funds you are switching into please tick the box. ☐

If you would like to continue making your direct debit payments to the funds you are switching out of please tick the box. ☐

If you do not wish to continue making payments by Direct Debit into any fund, please tick the box. ☐

We recommend that you notify your bank or building society that the Direct Debit has been cancelled.

If you are not currently subscribing by Direct Debit, and you would like to start one for the fund(s) you are switching into, please contact us on 0344 335 8936 to obtain further information.

Please note that switching forms can be used for switching between funds. Any switching between share classes within the same fund will be transacted as a conversion.

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PART 3A – SWITCHING OUT INSTRUCTION

Table 1

Full name of fund(s) to be switched out of	Share class (e.g. A†, R*)	Income/ Accumulation option (delete as appropriate)	Number of shares or value of fund(s) to be switched (please specify FULL if total holding to be switched)		
Prudential PruFund Risk Managed 1				or	£
Prudential PruFund Risk Managed 2				or	£
Prudential PruFund Risk Managed 3				or	£
Prudential PruFund Risk Managed 4				or	£
Prudential PruFund Risk Managed 5					£
Prudential PruFund Cautious Fund				or	£
Prudential PruFund Growth Fund				or	£
LF Prudential Risk Managed Active 1		Inc/Acc		or	£
LF Prudential Risk Managed Active 2		Inc/Acc		or	£
LF Prudential Risk Managed Active 3		Inc/Acc		or	£
LF Prudential Risk Managed Active 4		Inc/Acc		or	£
LF Prudential Risk Managed Active 5		Inc/Acc		or	£
LF Prudential Risk Managed Passive Fund 1		Inc/Acc		or	£
LF Prudential Risk Managed Passive Fund 2		Inc/Acc		or	£
LF Prudential Risk Managed Passive Fund 3		Inc/Acc		or	£
LF Prudential Risk Managed Passive Fund 4		Inc/Acc		or	£
LF Prudential Risk Managed Passive Fund 5		Inc/Acc		or	£

* The “R” Share class is only for customers who received advice when they originally took out their investment.

† Please note that Accumulation shares only are available.

PART 3B – SWITCHING IN INSTRUCTION

Full name of fund(s) to be switched in to	Share class (e.g. A†, R*)	Income/ Accumulation Option (delete as appropriate)	Please indicate a percentage split if the sale proceeds are to be divided between funds (must total 100%)
Prudential PruFund Risk Managed 1			%
Prudential PruFund Risk Managed 2			%
Prudential PruFund Risk Managed 3			%
Prudential PruFund Risk Managed 4			%
Prudential PruFund Risk Managed 5			%
Prudential PruFund Cautious Fund			%
Prudential PruFund Growth Fund			%
LF Prudential Risk Managed Active 1		Inc/Acc	%
LF Prudential Risk Managed Active 2		Inc/Acc	%
LF Prudential Risk Managed Active 3		Inc/Acc	%
LF Prudential Risk Managed Active 4		Inc/Acc	%
LF Prudential Risk Managed Active 5		Inc/Acc	%
LF Prudential Risk Managed Passive Fund 1		Inc/Acc	%
LF Prudential Risk Managed Passive Fund 2		Inc/Acc	%
LF Prudential Risk Managed Passive Fund 3		Inc/Acc	%
LF Prudential Risk Managed Passive Fund 4		Inc/Acc	%
LF Prudential Risk Managed Passive Fund 5		Inc/Acc	%

* The “R” Share class is only for customers who received advice when they originally took out their investment.

† Please note that Accumulation Shares only are available.

Part 4 – Income payment details – for investments in LF Prudential Investment Funds (1) only

Do you wish to (i) – Reinvest Income automatically ☐

(ii) – Pay Income out to my nominated bank account (see below) ☐

Please complete this section if you have selected to pay income out so that it can be paid direct to your bank or building society account. Please take care when completing this section as Link Financial Investments Limited cannot take responsibility for incorrectly completed bank or building society account details. The bank or building society account must be in the holder's name.

NAME AND FULL POSTAL ADDRESS OF BANK OR BUILDING SOCIETY

Name

Address

Postcode

Bank/building society account number

Branch sort code* – –

Building society collection account number*

Name(s) of account holder

* The building society sort code and collection number can be obtained from your building society.

Part 5 – Switch to regular withdrawals

If you wish to amend your regular withdrawals, please complete the relevant section of the Withdrawals Form.

Part 6 – Declaration and authorisation

I am aware that the Prospectus and the latest Annual and, if more recent, Interim Long and Short Reports and PruFund Funds' Literature are available free of charge on request and I confirm that I have accessed them to the extent I believe necessary.

I have received, read and understood or had satisfactorily explained the latest versions of the KIID(s), Key Features Document and Key Information Document, Prudential ISA costs and charges disclosure, and ISA Terms and Conditions.

(Where the switch represents a New or Initial Investment) I confirm that I have taken advice, and, for New Investments, that my "demands and needs" have been considered as part of that process, and that the proposed investment is consistent with those "demands and needs".

(For investments other than New or Initial Investments) I confirm that I have previously taken advice where required, and, in respect of investments into the PruFund Funds, that my "demands and needs" were considered as part of that process, and that the investment made at that time was consistent with those "demands and needs", and that the investment now contemplated remains consistent with those "demands and needs".

I hereby authorise the switch between funds within my Prudential ISA.

Signature

Date D D M M Y Y Y Y